

**STATEMENT UNDER 37 CFR 3.73(b)**

**Applicant/Patent Owner: SMITHKLINE BEECHAM CORPORATION**

**Application No./Patent No.: PCT/US2005/005345**

**Filed/Issue Date: 18 February 2005**

**Entitled: NOVEL COMPOUNDS**

**SMITHKLINE BEECHAM CORPORATION, a corporation, states that it is:**

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ % in the patent application/patent identified above by virtue of either;

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

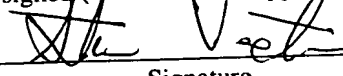
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

14 August 2006  
\_\_\_\_\_  
Date

STEPHEN VENETIANER  
\_\_\_\_\_  
Printed or Typed Name

610-270-5040  
\_\_\_\_\_  
Telephone Number

Vice President, Pharmaceuticals  
\_\_\_\_\_  
Title

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Assignment

WHEREAS, we, **DENNIS LEE** of 709 Swedeland Road, King of Prussia, Pennsylvania 19406, United States of America, and **KRISTA B. GOODMAN** of 709 Swedeland Road, King of Prussia, Pennsylvania 19406, United States of America, both citizens of the United States of America, have invented or discovered certain improvements in “**NOVEL COMPOUNDS**” hereinafter referred to as said invention and improvements for which a priority application number 60/546160 was filed on 20 February 2004 in the United States Patent and Trademark Office and for which a PCT international application is now being filed designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor. We hereby authorize and request that the filing date and application number of said PCT application, when known be inserted in parentheses below.

(PCT/US2005/005345 filed 18 February 2005)

WHEREAS, **SMITHKLINE BEECHAM CORPORATION**, a company incorporated in the Commonwealth of Pennsylvania, in the United States of America, whose registered office is at One Franklin Plaza, PO Box 7929, Philadelphia, Pennsylvania 19101, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that we, **DENNIS LEE** and **KRISTA B. GOODMAN** for good and valuable consideration unto us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer our whole right, title and interest in and to said invention and improvements to said **SMITHKLINE BEECHAM CORPORATION**, throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

AND, our whole right, title and interest in and to said invention and improvements to **SMITHKLINE BEECHAM CORPORATION**, in all other countries throughout the world, and in and to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation.

registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said **SMITHKLINE BEECHAM CORPORATION**, as assignees of our whole right, title and interest in and to the same for the sole use and behalf of the said assignees, their successors and assigns as their interests appear herein;

AND, we warrant that we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that we have good right to assign the same to **SMITHKLINE BEECHAM CORPORATION**;

AND, we, the undersigned, **DENNIS LEE** and **KRISTA B. GOODMAN**, for the consideration aforesaid, do hereby agree that we or our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said **SMITHKLINE BEECHAM CORPORATION**, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of 20 February 2004.*

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal on the date indicated below.

  
Inventor: **DENNIS LEE**

2/16/05  
Date

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal on the date indicated below.

  
Inventor: **KRISTA B. GOODMAN**

2/16/2005  
Date